



&DUORV %HH %OYG +D\Z
ID
ZZZ FVXHDVWED\ HGX UDZ _ UDZFI

7KXVH ,QWUDPXUDO %DGPLQWRQ UXOHV DUH EDVHG RQ WKH UX
ZLWK PRGLILFDWLRQV GHHPHG QHFHVVDU\ E\ FRQGLWLRQV RIL

~~\$OO UXOHV OLVWHG KHUH DWUDIPXU FROM 6SRUWV 3OD\HU
3DUWLFLSDQV WDLGHRDNGGLWLRQDO UXOHV DQG SROLFLHV QR~~

&RXUW (TXLSPHQW
\$OO SOD\HUV PXVW ZHDU DWKOHWF QRQ IORRU PDUNLQ
7KH FRXUW VLJH IRU VLQJOHVWKH QHW LORQUJHW KHW ZL
%LUGLHV EH SURYLGHG E\ ,QWUDPXUDO 6SRUWV 3OD\HU
PXVW DSSURYH
3OD\HUV PD\ XVH WKHLU RZQ UHJXODWLRQ VLJH UDFTXHW
(TXLSPHQW 5HQWDO GHVN

6WUWLQJ WKH *DPH
,I D SOD\HU LV QRW UHGD\ WR SOD\ E\ PLQXWHV DIWHU
ZLOO ZLQ E\ IRUIHLW
\$ FRLQ WRVV ZLOO WDNH SODFH EHIRUH WKH JDPH VWDU
VWUWLQJ SOD\ DW HLWKHU HQG RI WKH FRXUW 7KH RSSR
3OD\ EHJLQV ZLWK WKH SOD\HUV SRVLWLRQHG EHKLQG W

*DPH 5XOHV
6FRUWDFK FRQVLVWV RI WKH EHVW RI JDPHV (DFK J
PHDQLQJ WKHUH ZLOO EH SRLQWV VFRUHG DIWHU HDFK V
,I WKH VFRUH LV WLHG DW WKH VLGH ZKLFK JDLQV D
SRLQWV 7KH VLGH ZLQQLQJ D JDPH VHUYHV ILUVW LQ WK
%LUGLHV KLWWLQJ D ERXQGDU\ OLQH DUH FRQVLGH
\$ ELUGLH PD\ KLW WKH QHW DQG WKH UDOO\ FDQ FF
6HUYW WKH EHJLQQLQJ RI WKH JDPH WGS ZKHQWKV
IURP WKH ULJKW VLGH RI WKH FRXUW :KHQ WKH VHUYHU
WKH FRXUW ,I WKH VHUYHU ZLQV D UDOO\ WKH VHUYHU
VLGH RI WKH FRXUW ,I WKH UHFHLYHU ZLQV D UDOO\ WK
7KH\ VHUYH IURP WKH DSSURSULDWH VLGH RI WKH FRXUW
7KH VHUYH PXVW WUDYHO GLDJRQDOO\ FURVV FRXUW W
7KH UDFTXHW PXVW PDNH FRQWDFW EHORZ WKH ZDL
\$ VHUYH WKDW WRXFKHV WKH QHW DQG ODQGV LQ V
DOORZHG EXW RQO\ RQH VHUYH LV SHUPLWWHG WR
LV WRWDOO\ PLVVHG PD\ EH WULHG DJDLQ

&KDQJLQJ RI (QGV
3OD\HUV PXVW FKDQJH HQGV ZLWK WKHLU RSSRQHGW D
EH SOD\HG SOD\HUV ZLOO FKDQJH HQGV ZKHQ WKH OH



)DXOWV ,OOHJDO 3URFHGXUHV
7KH SURFHGXUHV EHZRZ ZLOO UHVXOW LQ D IDXOW

,I WKH ELUGLH ODQGV RXWVLGH WKH ERXQGDULHV RI WK
WKH QHW WRXFKHV WKH FHLQLQJ RU VLGH ZDOOV WRXFKH
REMHFW RU SHUVRQ
,I WKH LQLWLDO SRLQW RI FRQWDFW ZLWK WKH ELUGLH L
KRZHYHU IROORZ WKH ELUGLH RYHU WKH QHW ZLWK WKH U
,I D SOD\HU WRXFKHV WKH QHW RU LWV VXSSRUWV ZLWK
FRXUW RYHU WKH QHW ZLWK UDFTXHW RU SHUVRQ H[FHSH
,I D SOD\HU LQYDGHV DQ RSSRQHQW V FRXUW XQGHU WKH
REWUXFWHG RU GLWUDFWHG RU REVWUXFWV DQ RSSRQH
VWURNH ZKHUH WKH ELUGLH LV IROORZHG RYHU WKH QHW
,I D SOD\HU GHOLEHUDWHO\ GLWUDFWV DQ RSSRQHQW E
,I WKH ELUGLH LV FDXJKW DQG KHOG RQ WKH UDFTXHW D
,I WKH ELUGLH LV KLW WZLFH LQ VXFFHVVLRQ E\ WKH VDI
,I D SOD\HU LV JXLOW\ RI IODJUDQW UHSHDWHG RU SHUV
,I RQ VHUYLFH WKH ELUGLH LV FDXJKW RQ WKH QHW DQ
SDVVLQJ RYHU WKH QHW LV FDXJKW LQ WKH QHW

/HW

/HW LV FDOOHG E\ WKH RIILFLDO RU E\ D SOD\HU LI WKHUH LV
,I D ELUGLH LV FDXJKW LQ WKH QHW DQG UHPDLQV VXVSH
LQ WKH QHW LW VKDOO EH D OHW H[FHSH RQ VHUYLFH
,I GXULQJ VHUYLFH WKH UHFHLYHU DQG VHUYHU DUH ER
,I WKH VHUYHU VHUYHV EHIRUH WKH UHFHLYHU LV UHGD\
,I GXULQJ SOD\ WKH ELUGLH GLVLQWHJUDWHV DQG WKH
LW VKDOO EH D OHW
,I D GHFLVLRQ FDQQRW EH DJUHHG XSRQ E\ WKH SOD\HUV
\$ OHW PD\ RFFXU IROORZLQJ D VHUYLFH FRXUW HUURU
VKDOO QRW FRXQW DQG WKH SOD\HU ZKR VHUYHG VKDOO
,I D ELUGLH KLWV RU ODQGV RQ WKH EDVNHWEDOO KRRS

&RQLQXR XV SOD\ PLVFRQGXFV SHQDOWLHV
3OD\ VKDOO EH FRQLQXR XV IURP WKH ILUVW VHUYLFH XQWLO
H[FHGLQJ VHFRQGV EHWZHHQ WKH ILUVW DQG VHFRQJ JDPH
WKLUG JDPHV

&DQFHODWLRQV 'HOD\V DQG 'HIDXOWV

)RU YDULRXV UHDFRQV VXFK DV IDFLQLW\ LVVXHV LQDG
VSRUWV JDPHV PD\ EH FDQFHODG GHOD\HG RU HQG LQ D
,I WLPH DQG VSDFH DOORZV FDQFHODG JDPHV ZLOO EH
*DPHV FDQFHODG GXULQJ SOD\ ZLOO EH UHVFKHGXOHG L

&DUORV %HH %OYG +D\Z
ID
ZZZ FVXHVDWED\ HG \bar{X} Udz _ UDZFI

*DPHV FDQFHOOHG DIWHU WKH JDPH LV GHFODUHG ³RIILF
UHVFKHGXOH ZLOO EH PDGH
7KH VWDQGDUGV OHDGLQJ WR WKH GHFODUDWLRQ RI DQ
VSRUW
\$ GHIDXOW LV D JDPH WKDW LV QRW SOD\HG DV D UHVXO
PLQLPXP QXPEHU RI SDUWLFLSDQWV UHTXLUHG WR VWDUW